

Page 1 of 2

PRIORITY DATE

10/02/2003

Initials

UNITED STATES DEPARTMENT OF COMMERCI United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

I.A. FILING DATE

09/09/2004

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY, DOCKET NO. 10/574,517 Vera Kreutzmann P077770007US00 INTERNATIONAL APPLICATION NO. PCT/EP04/10084

23628 WOLF GREENFIELD & SACKS, PC FEDERAL RESERVE PLAZA 600 ATLANTIC AVENUE BOSTON, MA 02210-2206

CONFIRMATION NO. 6948 **371 FORMALITIES LETTER** *OC000000022532381*

Date Mailed: 02/16/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 04/03/2006

English Translation of the IA filed on 04/03/2006

Copy of the International Search Report filed on 04/03/2006

Copy of Annexes to the IPER filed on 04/03/2006

• U.S. Basic National Fees filed on 04/03/2006

Priority Documents filed on 04/03/2006

06/22/2007 MKAYPAGH 00000123 10574517

01 FC:1617 02 FC:1615

130.00 OP 1050.00 OP

The applicant needs to satisfy supplemental fees problems indicated below.

Confirmation Docketing FEB 21 2007 wrm

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$2110 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$2240 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 2110
 - \$1750 for 35 total claims over 20.
 - \$360 for multiple dependent claim surchare.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at http://www.uspto.gov/ebc.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

SHELBY J VIGIL

Telephone: (703) 308-9140 EXT 224

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/574,517	PCT/EP04/10084	P077770007US00

FORM PCT/DO/EO/905 (371 Formalities Notice)

PTO/SB/01A (02-07)

Approved for use through 02/28/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)						
Title of Invention	INHALATION THERAPY DEVICE COMPRISING A VALVE					
As the below named	l d inventor(s), I/we declare	that:				
This declaration is d	lirected to:					
	The attached application	n, or				
x	Application No.	10/574,517	file	on April:	3, 2006	
	As amended	on		(if ap	oplicable);	
I/we believe that I/we a sought;	am/are the original and first	inventor(s) of the sub	eject matter w	hich is claimed and fo	or which a patent is	
	I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;					
I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.						
WARNING: Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioner/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.						
All statements made herein of my/own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.						
FULL NAME OF IN	/ENTOR(S)					
Inventor one: Ve	era Kreutzmann	D	ate:	2 9. MAI 2007		
Signature: <u>V</u>	ra Urcuku	ci	itizen of:	Germa	ny	
Inventor two: Sv	ven Rosenbeiger	D	ate:	2 3. APR. 2007		
Signature:	2 Ras	c	itizen of:	Germa	ny	
x Additional inventors or a legal representative are being named on additional form(s) attached hereto.						
	<u> </u>					

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. M. Pawa K. Fairweather, 18 2007

DECLARATION FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET			ADDITIONAL INVENTOR(S) Supplemental Sheet Page 2 of 1			
	Markys Mornhinweg	Date:	27. April 2007			
Signature:	r. M. /	Citizen of	: Germany			
Inventor four: F	<i></i>		73 April 2007			
Signature:		Citizen of	: Germany			
Inventor five:	Titus Selzer	Date:	29. Apr. 2007			
Signature:	Tites Your	Citizen of	: Germany			
Inventor six:						
Signature:		Citizen of	÷			
Inventor seven:			•			
Signature:		Citizen of	:			
Inventor eight:						
Signature:		Citizen of	:			
Inventor nine:						
Signature:		Citizen of	÷			
Inventor ten:		V-1/1-2/12-2				
Inventor eleven:						
Signature:		Citizen of	÷			
Inventor twelve:						
·						
Inventor thirteen:						
Inventor fourteen:						
Signature:		Citizen of	f:			

IAP15 Rec'd PCT/PTO 2 0 JUN 2007

PTO/SB/21 (04-07) Approved for use through 09/30/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/574,517-Conf. #6948 Filing Date TRANSMITTAL April 3, 2006 First Named Inventor **FORM** Vera Kreutzmann Art Unit N/A **Examiner Name** Not Yet Assigned (to be used for all correspondence after initial filing) Attorney Docket Number Total Number of Pages in This Submission P0777.70007US00 ENCLOSURES (Check all that apply) After Allowance Communication x | Fee Transmittal Form Drawing(s) to TC Appeal Communication to Board of x Fee Attached Licensing-related Papers Appeals and Interferences Appeal Communication to TC Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information **Provisional Application** Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Х Extension of Time Request Terminal Disclaimer Х Identify below): Return Receipt Postcard Express Abandonment Request Request for Refund **Declaration** (executed) Copy of Notification of Missing Information Disclosure Statement CD, Number of CD(s) Requirements Application Data Sheet Certified Copy of Priority Landscape Table on CD Document(s) Reply to Missing Parts/ Remarks Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name WOLF, GREENFIELD & SACKS, P.C. Signature R. Me Clella Printed name William R. McClellan Date Reg. No. June 18, 2007 29,409

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 18, 2007

Signature: White Indian Commissioner (Paula K. Fairweather)

PTO/SB/17 (05-07)
Approved for use through 05/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1995, no person are required to res

			Complete if Known					
Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				10/574,517-Conf. #6948				
FEE TRANSMITTAL For FY 2007			Filing Date	April 3, 2006				
			First Named Inventor Vera Kreutzmann		nn	•		
			Examiner Nam		lot Yet Assign			
Applicant claims small entity status. See 37 CFR 1.27			Art Unit N/A		···			
TOTAL AMOU	NT OF PAYMENT	(\$) 1,630.	00	Attorney Docket No. P0777.70007U		P0777.70007U	S00	
METHOD OF	PAYMENT (chec	k all that apply)						
X Check	Credit Card	Money Order	No	ne Other	(please identi	fy):		
Deposit Ac	count Deposit Accoun	t Number: 23/2825	Deposit Acc	count Name:	Wolf, G	Freenfield & Sa	acks, P.C.	
For the	above-identified der	posit account, the l	Director is	hereby authoriz	zed to: (checl	k all that apply)		
CI	narge fee(s) indicate	ed below		Char	ge fee(s) indi	icated below, ex	cept for th	ne filing fee
	narge any additiona e(s) under 37 CFR		yments o	f x Cred	it any overpa	yments		
FEE CALCUL								
1. BASIC FILIN	G, SEARCH, AND					- · · -		
	F	FILING FEES Small Entity	SE	ARCH FEES		ATION FEES		
Application Ty	ype Fee		<u>Fee (\$</u>	Small Entity () Fee (\$)	<u>.</u> <u>Fee (\$)</u>	Small Entity Fee (\$)	Fees F	Paid (\$)
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100	0	0	0	0		
2. EXCESS CL	AIM FEES							Small Entity
Fee Description							Fee (\$)	Fee (\$)
Each claim over 20 (including Reissues)				50	25			
-	ent claim over 3 (inc	cluding Reissues)					200	100
Multiple depend							360	180
Total Claims 41	Extra Claims	Fee (\$) x 50 =		Paid (\$)		Itiple Depende		.,
	ber of total claims paid f		10	50.00	<u>Fee</u>	<u>9 (\$)</u> <u>F</u>	ee Paid (\$	7
Indep. Claims	Extra Claims	Fee (\$)	Fee	Paid (\$)				_
2	- = 0	× 200 =	1 00	0				
	ber of independent clain		an 3.					
3. APPLICATIO	N SIZE FEE							
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)								
100 = /50 = (round up to a whole number) x =								
4. OTHER FEE(S)								
Non-English Specification, \$130 fee (no small entity discount)								
Other (e.g., late filing surcharge): 1051 Surcharge – declaration 130.00					30.00			
1202 Additional Claims Fee					1,050.00			
1252 Extension for response within second month 450.00								
SUBMITTED BY								
Signature	William 7	R. McClell	an	Registration No. (Attorney/Agent)	29,409	Telephone	(617) 64	6-8000
Name (Print/Type)	William R. McCl					Date	June 18	, 2007

Certificate of Mailing Under 37 CFR 1.8(a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing PartsCommissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: June 18, 2007

aula K. Fairweather)